

**Amendment to the Claims**

This listing of claims will replace all prior versions, and listings, of claims in the application:

**Listing of Claims:**

- 1           1.       (currently amended): A system for grouping clusters of  
2       semantically scored documents electronically stored in a data corpus, comprising:  
3               a scoring module determining a score, which is assigned to at least one  
4       concept that has been extracted from a plurality of electronically-stored  
5       documents, wherein the score is ~~based on at least one~~ calculated as a function of a  
6       summation of a frequency of occurrence of the at least one concept within at least  
7       one such document, a concept weight, a structural weight, and a corpus weight,  
8       forming the score assigned to the at least one concept as a normalized score vector  
9       for each such document, and determining a similarity between the normalized  
10       score vector for each such document as an inner product of each normalized score  
11       vector;  
12               a clustering module forming clusters of the documents, comprising:  
13               a selection submodule ~~evaluating~~ selecting a set of candidate seed  
14       documents ~~selected~~ from the plurality of documents;  
15               a seed document identification submodule identifying a set of seed  
16       documents by applying the similarity ~~as a best fit~~ to each such candidate seed  
17       document and selecting those candidate seed documents that are sufficiently  
18       unique from other candidate seed documents as the seed documents;  
19               a non-seed document identification submodule identifying a  
20       plurality of non-seed documents;  
21               a comparison submodule determining the similarity between each  
22       non-seed document and a center of each cluster; and  
23               a clustering submodule grouping each such non-seed document  
24       into a cluster with ~~[[the]]~~ a best fit, subject to a minimum fit;

25           a threshold module determining the similarity between each of the  
26 documents grouped into each cluster based on the center of the cluster and the  
27 scores assigned to each of the at least one concepts in that document, dynamically  
28 determining a threshold for each cluster as a function of the similarity between  
29 each of the documents, and identifying and reassigning each of the documents  
30 having the similarity falling outside the threshold.

1           Claim 2 (canceled).

1           3.       (currently amended): A system according to ~~Claim 2~~ Claim 1,  
2 further comprising:  
3           a compression module compressing the score through logarithmic  
4 compression.

1           4.       (original): A system according to Claim 1, further comprising:  
2           the scoring module calculating the concept weight as a function of a  
3 number of terms comprising the at least one concept.

1           5.       (original): A system according to Claim 1, further comprising:  
2           the scoring module calculating the structural weight as a function of a  
3 location of the at least one concept within the at least one such document.

1           6.       (original): A system according to Claim 1, further comprising:  
2           the scoring module calculating the corpus weight as a function of a  
3 reference count of the at least one concept over the plurality of documents.

1           Claims 7-8 (canceled).

1           9.       (currently amended): A method for grouping clusters of  
2 semantically scored documents electronically stored in a data corpus, comprising:  
3           determining a score, which is assigned to at least one concept that has  
4 been extracted from a plurality of electronically-stored documents, wherein the  
5 score is ~~based on at least one~~ calculated as a function of a summation of a

6 frequency of occurrence of the at least one concept within at least one such  
7 document, a concept weight, a structural weight, and a corpus weight;  
8 forming the score assigned to the at least one concept as a normalized  
9 score vector for each such document;  
10 determining a similarity between the normalized score vector for each  
11 such document as an inner product of each normalized score vector;  
12 forming logically-grouped clusters of the documents, comprising:  
13 evaluating selecting a set of candidate seed documents selected  
14 from the plurality of documents;  
15 identifying a set of seed documents by applying the similarity ~~as a~~  
16 ~~best fit~~ to each such candidate seed document and selecting those candidate seed  
17 documents that are sufficiently unique from other candidate seed documents as  
18 the seed documents;  
19 identifying a plurality of non-seed documents;  
20 determining the similarity between each non-seed document and a  
21 center of each cluster; and  
22 grouping each such non-seed document into a cluster with ~~[[the]]~~ a  
23 best fit, subject to a minimum fit;  
24 determining the similarity between each of the documents grouped into  
25 each cluster based on the center of the cluster and the scores assigned to each of  
26 the at least one concepts in that document;  
27 dynamically determining a threshold for each cluster as a function of the  
28 similarity between each of the documents; and  
29 identifying and reassigning each of the documents having the similarity  
30 falling outside the threshold.

1 Claim 10 (canceled).

1 11. (currently amended): A method according to ~~Claim 10~~ Claim 9,  
2 further comprising:  
3 compressing the score through logarithmic compression.

1           12.     (original): A method according to Claim 9, further comprising:  
2           calculating the concept weight as a function of a number of terms  
3     comprising the at least one concept.

1           13.     (original): A method according to Claim 9, further comprising:  
2           calculating the structural weight as a function of a location of the at least  
3     one concept within the at least one such document.

1           14.     (original): A method according to Claim 9, further comprising:  
2           calculating the corpus weight as a function of a reference count of the at  
3     least one concept over the plurality of documents.

1           Claims 15-16 (canceled).

1           17.     (currently amended): A computer-readable storage medium  
2     holding code for grouping clusters of semantically scored documents  
3     electronically stored in a data corpus, comprising:  
4           code for determining a score, which is assigned to at least one concept that  
5     has been extracted from a plurality of electronically-stored documents, wherein  
6     the score is ~~based on at least one~~ calculated as a function of a summation of a  
7     frequency of occurrence of the at least one concept within at least one such  
8     document, a concept weight, a structural weight, and a corpus weight;  
9           code for forming the score assigned to the at least one concept as a  
10    normalized score vector for each such document;  
11           code for determining a similarity between the normalized score vector for  
12    each such document as an inner product of each normalized score vector;  
13           code for forming logically-grouped clusters of the documents, comprising;  
14           code for ~~evaluating~~ selecting a set of candidate seed documents  
15    ~~selected~~ from the plurality of documents;  
16           code for identifying a set of seed documents by applying the  
17    similarity ~~as a best fit~~ to each such candidate seed document and selecting those

18 candidate seed documents that are sufficiently unique from other candidate seed  
19 documents as the seed documents;  
20                   code for identifying a plurality of non-seed documents;  
21                   code for determining the similarity between each non-seed  
22 document and a center of each cluster; and  
23                   code for grouping each such non-seed document into a cluster with  
24 [[the]] a best fit, subject to a minimum fit;  
25                   code for determining the similarity between each of the documents  
26 grouped into each cluster based on the center of the cluster and the scores  
27 assigned to each of the at least one concepts in that document;  
28                   code for dynamically determining a threshold for each cluster as a  
29 function of the similarity between each of the documents; and  
30                   code for identifying and reassigning each of the documents having the  
31 similarity falling outside the threshold.

1           18.   (currently amended): A system for providing efficient document  
2 scoring of concepts within and clustering of documents in an electronically-stored  
3 document set, comprising:  
4           a scoring module scoring a document in an electronically-stored document  
5 set, comprising:  
6           a frequency module determining a frequency of occurrence of at  
7 least one concept within a document;  
8           a concept weight module analyzing a concept weight reflecting a  
9 specificity of meaning for the at least one concept within the document;  
10           a structural weight module analyzing a structural weight reflecting  
11 a degree of significance based on structural location within the document for the  
12 at least one concept;  
13           a corpus weight module analyzing a corpus weight inversely  
14 weighing a reference count of occurrences for the at least one concept within the  
15 document;

16                   a scoring evaluation module evaluating a score to be associated  
17   with the at least one concept as a function of a summation of the frequency,  
18   concept weight, structural weight, and corpus weight;  
19                   a vector module forming the score assigned to the at least one  
20   concept as a normalized score vector for each such document in the  
21   electronically-stored document set; and  
22                   a determination module determining a similarity between the  
23   normalized score vector for each such document as an inner product of each  
24   normalized score vector;  
25                   a clustering module grouping the documents by the score into a plurality  
26   of clusters, comprising:  
27                   a selection submodule ~~evaluating~~ selecting a set of candidate seed  
28   documents ~~selected~~ from the electronically-stored document set;  
29                   a cluster seed submodule identifying seed documents by applying  
30   the similarity ~~as a best fit~~ to each such candidate seed document and selecting  
31   those candidate seed documents that are sufficiently unique from other candidate  
32   seed documents as the seed documents;  
33                   an identification submodule identifying a plurality of non-seed  
34   documents;  
35                   a comparison submodule determining the similarity between each  
36   non-seed document and a cluster center of each cluster; and  
37                   a clustering submodule assigning each non-seed document to the  
38   cluster with ~~[[the]]~~ a best fit, subject to a minimum fit; and  
39                   a threshold module relocating outlier documents, comprising determining  
40   the similarity between each of the documents grouped into each cluster based on  
41   the center of the cluster and the scores assigned to each of the at least one  
42   concepts in that document, dynamically determining a threshold for each cluster  
43   as a function of the similarity between each of the documents, and identifying and  
44   reassigning each of the documents with the similarity falling outside the  
45   threshold.

1           19.     (previously presented): A system according to Claim 18, further  
2     comprising:

3           the scoring module evaluating the score in accordance with the formula:

4           
$$S_i = \sum_{j=1}^n f_{ij} \times cw_{ij} \times sw_{ij} \times rw_{ij}$$

5     where  $S_i$  comprises the score,  $f_{ij}$  comprises the frequency,  $0 < cw_{ij} \leq 1$  comprises  
6     the concept weight,  $0 < sw_{ij} \leq 1$  comprises the structural weight, and  $0 < rw_{ij} \leq 1$   
7     comprises the corpus weight for occurrence  $j$  of concept  $i$ .

1           20.     (previously presented): A system according to Claim 19, further  
2     comprising:

3           the concept weight module evaluating the concept weight in accordance  
4     with the formula:

5           
$$cw_{ij} = \begin{cases} 0.25 + (0.25 \times t_{ij}), & 1 \leq t_{ij} \leq 3 \\ 0.25 + (0.25 \times [7 - t_{ij}]), & 4 \leq t_{ij} \leq 6 \\ 0.25, & t_{ij} \geq 7 \end{cases}$$

6     where  $cw_{ij}$  comprises the concept weight and  $t_{ij}$  comprises a number of terms for  
7     occurrence  $j$  of each such concept  $i$ .

1           21.     (previously presented): A system according to Claim 19, further  
2     comprising:

3           the structural weight module evaluating the structural weight in  
4     accordance with the formula:

5           
$$sw_{ij} = \begin{cases} 1.0, & \text{if}(j \approx \text{SUBJECT}) \\ 0.8, & \text{if}(j \approx \text{HEADING}) \\ 0.7, & \text{if}(j \approx \text{SUMMARY}) \\ 0.5 & \text{if}(j \approx \text{BODY}) \\ 0.1 & \text{if}(j \approx \text{SIGNATURE}) \end{cases}$$

6     where  $sw_{ij}$  comprises the structural weight for occurrence  $j$  of each such concept  $i$ .

1           22.   (previously presented): A system according to Claim 19, further  
2   comprising:  
3           the corpus weight module evaluating the corpus weight in accordance with  
4   the formula:

$$5 \quad rw_{ij} = \begin{cases} \left( \frac{T - r_{ij}}{T} \right)^2, & r_{ij} > M \\ 1.0, & r_{ij} \leq M \end{cases}$$

6   where  $rw_{ij}$  comprises the corpus weight,  $r_{ij}$  comprises a reference count for  
7   occurrence  $j$  of each such concept  $i$ ,  $T$  comprises a total number of reference  
8   counts of documents in the document set, and  $M$  comprises a maximum reference  
9   count of documents in the document set.

1           23.   (previously presented): A system according to Claim 19, further  
2   comprising:  
3           a compression module compressing the score in accordance with the  
4   formula:

$$5 \quad S'_i = \log(S_i + 1)$$

6   where  $S'_i$  comprises the compressed score for each such concept  $i$ .

1           24.   (original): A system according to Claim 18, further comprising:  
2           a global stop concept vector cache maintaining concepts and terms; and  
3           a filtering module filtering selection of the at least one concept based on  
4   the concepts and terms maintained in the global stop concept vector cache.

1           25.   (original): A system according to Claim 18, further comprising:  
2           a parsing module identifying terms within at least one document in the  
3   document set, and combining the identified terms into one or more of the  
4   concepts.

1           26.   (original): A system according to Claim 25, further comprising:



2 the parsing module structuring each such identified term in the one or  
3 more concepts into canonical concepts comprising at least one of word root,  
4 character case, and word ordering.

1 27. (original): A system according to Claim 25, wherein at least one of  
2 nouns, proper nouns and adjectives are included as terms.

1 Claims 28-30 (canceled).

1 31. (previously presented): A system according to Claim 18, further  
2 comprising:  
3 the similarity submodule calculating the similarity in accordance with the  
4 formula:

5 
$$\cos \sigma_{AB} = \frac{\langle \vec{S}_A \cdot \vec{S}_B \rangle}{|\vec{S}_A| |\vec{S}_B|}$$

6 where  $\cos \sigma_{AB}$  comprises a similarity between a document  $A$  and a document  $B$ ,  
7  $\vec{S}_A$  comprises a score vector for document  $A$ , and  $\vec{S}_B$  comprises a score vector for  
8 document  $B$ .

1 Claims 32-34 (canceled).

1 35. (currently amended): A method for providing efficient document  
2 scoring of concepts within and clustering of documents in an electronically-stored  
3 document set, comprising:

4 scoring a document in an electronically-stored document set, comprising:  
5 determining a frequency of occurrence of at least one concept  
6 within a document;

7 analyzing a concept weight reflecting a specificity of meaning for  
8 the at least one concept within the document;

9 analyzing a structural weight reflecting a degree of significance  
10 based on structural location within the document for the at least one concept;

11           analyzing a corpus weight inversely weighing a reference count of  
12   occurrences for the at least one concept within the document; and  
13           evaluating a score to be associated with the at least one concept as  
14   a function of a summation of the frequency, concept weight, structural weight,  
15   and corpus weight;  
16           forming the score assigned to the at least one concept as a normalized  
17   score vector for each such document in the electronically-stored document set;  
18           determining a similarity between the normalized score vector for each  
19   such document as an inner product of each normalized score vector;  
20           grouping the documents by the score into a plurality of clusters,  
21   comprising:  
22           ~~evaluating~~ selecting a set of candidate seed documents ~~selected~~  
23   from the electronically-stored document set;  
24           identifying seed documents by applying the similarity ~~as a best fit~~  
25   to each such candidate seed document and selecting those candidate seed  
26   documents that are sufficiently unique from other candidate seed documents as  
27   the seed documents;  
28           identifying a plurality of non-seed documents;  
29           determining the similarity between each non-seed document and a  
30   center of each cluster; and  
31           assigning each non-seed document to the cluster with ~~[[the]]~~ a best  
32   fit, subject to a minimum fit; and  
33           relocating outlier documents, comprising:  
34           determining the similarity between each of the documents grouped  
35   into each cluster based on the center of the cluster and the scores assigned to each  
36   of the at least one concepts in that document;  
37           dynamically determining a threshold for each cluster as a function  
38   of the similarity between each of the documents; and  
39           identifying and reassigning each of the documents with the  
40   similarity falling outside the threshold.

1           36.     (previously presented): A method according to Claim 35, further  
2     comprising:  
3           evaluating the score in accordance with the formula:

$$4 \quad S_i = \sum_{j=1 \rightarrow n} f_{ij} \times cw_{ij} \times sw_{ij} \times rw_{ij}$$

5     where  $S_i$  comprises the score,  $f_{ij}$  comprises the frequency,  $0 < cw_{ij} \leq 1$  comprises  
6     the concept weight,  $0 < sw_{ij} \leq 1$  comprises the structural weight, and  $0 < rw_{ij} \leq 1$   
7     comprises the corpus weight for occurrence  $j$  of concept  $i$ .

1           37.     (previously presented): A method according to Claim 36, further  
2     comprising:  
3           evaluating the concept weight in accordance with the formula:

$$4 \quad cw_{ij} = \begin{cases} 0.25 + (0.25 \times t_{ij}), & 1 \leq t_{ij} \leq 3 \\ 0.25 + (0.25 \times [7 - t_{ij}]), & 4 \leq t_{ij} \leq 6 \\ 0.25, & t_{ij} \geq 7 \end{cases}$$

5     where  $cw_{ij}$  comprises the concept weight and  $t_{ij}$  comprises a number of terms for  
6     occurrence  $j$  of each such concept  $i$ .

1           38.     (previously presented): A method according to Claim 36, further  
2     comprising:  
3           evaluating the structural weight in accordance with the formula:

$$4 \quad sw_{ij} = \begin{cases} 1.0, & \text{if}(j \approx \text{SUBJECT}) \\ 0.8, & \text{if}(j \approx \text{HEADING}) \\ 0.7, & \text{if}(j \approx \text{SUMMARY}) \\ 0.5 & \text{if}(j \approx \text{BODY}) \\ 0.1 & \text{if}(j \approx \text{SIGNATURE}) \end{cases}$$

5     where  $sw_{ij}$  comprises the structural weight for occurrence  $j$  of each such concept  $i$ .

1           39.     (previously presented): A method according to Claim 36, further  
2     comprising:  
3           evaluating the corpus weight in accordance with the formula:

$$rw_{ij} = \begin{cases} \left( \frac{T - r_{ij}}{T} \right)^2, & r_{ij} > M \\ 1.0, & r_{ij} \leq M \end{cases}$$

where  $rw_{ij}$  comprises the corpus weight,  $r_{ij}$  comprises a reference count for occurrence  $j$  of each such concept  $i$ ,  $T$  comprises a total number of reference counts of documents in the document set, and  $M$  comprises a maximum reference count of documents in the document set.

40. (previously presented): A method according to Claim 36, further comprising:

compressing the score in accordance with the formula:

$$S'_i = \log(S_i + 1)$$

where  $S'_i$  comprises the compressed score for each such concept  $i$ .

41. (original): A method according to Claim 35, further comprising:  
maintaining concepts and terms in a global stop concept vector cache; and  
filtering selection of the at least one concept based on the concepts and terms maintained in the global stop concept vector cache.

42. (original): A method according to Claim 35, further comprising:  
identifying terms within at least one document in the document set; and  
combining the identified terms into one or more of the concepts.

43. (original): A method according to Claim 42, further comprising:  
structuring each such identified term in the one or more concepts into canonical concepts comprising at least one of word root, character case, and word ordering.

44. (original): A method according to Claim 42, further comprising:  
including as terms at least one of nouns, proper nouns and adjectives.

1           Claims 45-47 (canceled).

1           48.     (previously presented): A method according to Claim 35, further  
2 comprising:

3           calculating the similarity in accordance with the formula:

4           
$$\cos \sigma_{AB} = \frac{\langle \vec{S}_A \cdot \vec{S}_B \rangle}{|\vec{S}_A| |\vec{S}_B|}$$

5     where  $\cos \sigma_{AB}$  comprises a similarity between a document *A* and a document *B*,

6      $\vec{S}_A$  comprises a score vector for document *A*, and  $\vec{S}_B$  comprises a score vector for  
7 document *B*.

1           Claims 49-51 (canceled).

1           52.     (currently amended): A computer-readable storage medium  
2 holding code for providing efficient document scoring of concepts within and  
3 clustering of documents in an electronically-stored document set, comprising:

4           code for scoring a document in an electronically-stored document set,  
5 comprising:

6                   code for determining a frequency of occurrence of at least one  
7 concept within a document;

8                   code for analyzing a concept weight reflecting a specificity of  
9 meaning for the at least one concept within the document;

10                   code for analyzing a structural weight reflecting a degree of  
11 significance based on structural location within the document for the at least one  
12 concept;

13                   code for analyzing a corpus weight inversely weighing a reference  
14 count of occurrences for the at least one concept within the document; and

15                   code for evaluating a score to be associated with the at least one  
16 concept as a function of a summation of the frequency, concept weight, structural  
17 weight, and corpus weight;

18           code for forming the score assigned to the at least one concept as a  
19           normalized score vector for each such document in the electronically-stored  
20           document set;  
21           code for determining a similarity between the normalized score vector for  
22           each such document as an inner product of each normalized score vector;  
23           code for grouping the documents by the score into a plurality of clusters,  
24           comprising:  
25                   code for ~~evaluating~~ selecting a set of candidate seed documents  
26           ~~selected~~ from the electronically-stored document set;  
27                   code for identifying seed documents by applying the similarity ~~as a~~  
28           ~~best fit~~ to each such candidate seed document and selecting those candidate seed  
29           documents that are sufficiently unique from other candidate seed documents as  
30           the seed documents;  
31                   code for identifying a plurality of non-seed documents;  
32                   code for determining the similarity between each non-seed  
33           document and a center of each cluster; and  
34                   code for assigning each non-seed document to the cluster with  
35           [[the]] a best fit, subject to a minimum fit; and  
36           code for relocating outlier documents, comprising:  
37                   code for determining the similarity between each of the documents  
38           grouped into each cluster based on the center of the cluster and the scores  
39           assigned to each of the at least one concepts in that document;  
40                   code for dynamically determining a threshold for each cluster as a  
41           function of the similarity between each of the documents; and  
42                   code for identifying and reassigning each of the documents with  
43           the similarity falling outside the threshold.

1           53.   (currently amended): An apparatus for providing efficient  
2           document scoring of concepts within and clustering of documents in an  
3           electronically-stored document set, comprising:

4 means for scoring a document in an electronically-stored document set,  
5 comprising:

6 means for determining a frequency of occurrence of at least one  
7 concept within a document;

8 means for analyzing a concept weight reflecting a specificity of  
9 meaning for the at least one concept within the document;

10 means for analyzing a structural weight reflecting a degree of  
11 significance based on structural location within the document for the at least one  
12 concept;

13 means for analyzing a corpus weight inversely weighing a  
14 reference count of occurrences for the at least one concept within the document;  
15 and

16 means for evaluating a score to be associated with the at least one  
17 concept as a function of a summation of the frequency, concept weight, structural  
18 weight, and corpus weight;

19 means for forming the score assigned to the at least one concept as a  
20 normalized score vector for each such document in the electronically-stored  
21 document set;

22 means for determining a similarity between the normalized score vector  
23 for each such document as an inner product of each normalized score vector;

24 means for grouping the documents by the score into a plurality of clusters,  
25 comprising:

26 means for ~~evaluating~~ selecting a set of candidate seed documents  
27 ~~selected~~ from the electronically-stored document set;

28 means for identifying seed documents by applying the similarity as  
29 ~~a best fit~~ to each such candidate seed document and selecting those candidate seed  
30 documents that are sufficiently unique from other candidate seed documents as  
31 the seed documents;

32 means for identifying a plurality of non-seed documents;

33                    means for determining the similarity between each non-seed  
34 document and a center of each cluster; and  
35                    means for assigning each non-seed document to the cluster with  
36 ~~[[the]]~~ a best fit, subject to a minimum fit; and  
37                    means for relocating outlier documents, comprising:  
38                    means for determining the similarity between each of the  
39 documents grouped into each cluster based on the center of the cluster and the  
40 scores assigned to each of the at least one concepts in that document;  
41                    means for dynamically determining a threshold for each cluster as  
42 a function of the similarity between each of the documents; and  
43                    means for identifying and reassigning each of the documents with  
44 the similarity falling outside the threshold.